

Agenda – Assembly Commission Audit and Risk Assurance Committee

Meeting Venue:

Conference Room 4B – Tŷ Hywel

Meeting date: 25 March 2019

Meeting time: 10.30

For further information contact:

Clerk: Kathryn Hughes

Committee Clerk

0300 200 6565

kathryn.hughes@assembly.wales

1 Introductions, apologies and declaration of interests

2 Minutes of 11 February, actions and matters arising

(Pages 1 – 12)

Attached Documents:

ACARAC (02–19) Paper 1_Minutes

ACARAC (02–19) Paper 2 – Actions

3 Internal Audit Update Report

(Pages 13 – 23)

Attached Documents:

ACARAC (02–19) Paper 3 – Internal Audit Update

4 Internal Audit Plan 2019–20

(Pages 24 – 32)

Attached Documents:

ACARAC (02–19) Paper 4 – Internal Audit Plan 2019–20

5 Internal Audit Charter and Internal Audit's Compliance with Public Sector Internal Audit Standard (PSIAS)

(Pages 33 – 45)

Attached Documents:

ACARAC (02–19) Paper 5 – Internal Audit Charter cover paper

ACARAC (02–19) Paper 5 Annex A – Internal Audit Charter



Cynulliad
Cenedlaethol
Cymru

National
Assembly for
Wales

6 Update from WAO

(Pages 46 – 48)

Attached Documents:

ACARAC (02–19) Paper 6 – WAO Update

7 Review of Joint Working Protocol

(Pages 49 – 58)

Attached Documents:

ACARAC (02–19) Paper 7 – IA–WAO Joint Working Protocol

8 Draft Governance Statement for 2018–19

(Pages 59 – 80)

Attached Documents:

ACARAC (02–19) Paper 8 – Governance_Statement_cover_paper

ACARAC (02–19) Paper 8 – Governance Statement 2018–19 draft v1.0

9 Annual Report and Accounts – timeline

(Page 81)

Attached Documents:

ACARAC (02–19) Paper 9 – Annual Report and Accounts timeline

10 Draft SIRO Annual Report

(Pages 82 – 90)

Attached Documents:

ACARAC (02–19) Paper 10 – SIRO Annual Report

11 Corporate Risks Report

(Pages 91 – 111)

Attached Documents:

ACARAC (02–19) Paper 11 – Corporate Risk

ACARAC (02–19) Paper 11 Annex A – Summary Corporate Risk Register

ACARAC (02–19) Paper 11 Annex B – Corporate Risk plotted

12 Critical examination of one identified or emerging risk (Brexit)

(Pages 112 – 117)

Attached Documents:

ACARAC (02-19) Paper 12 – Brexit Corporate Risk

ACARAC (02-19) Paper 12 Annex A – Brexit Risk

13 Annual Review of Fraud and Whistleblowing policies

(Pages 118 – 143)

Attached Documents:

ACARAC (02-19) Paper 13 – Whistleblowing and Fraud policies updates

ACARAC (02-19) Paper 13 Annex A – Whistleblowing Policy

ACARAC (02-19) Paper 13 Annex B – Fraud corruption and bribery policy

ACARAC (02-19) Paper 13 Annex C – Fraud Response Plan – 2019

14 Finance Update

(Pages 144 – 148)

Attached Documents:

ACARAC (02-19) Paper 14 – Finance update

15 Committee's Terms of Reference

(Pages 149 – 153)

Attached Documents:

ACARAC (02-19) Paper 15 – ToR

16 Departure Summary

(Pages 154 – 155)

Attached Documents:

ACARAC (02-19) Paper 16 – Departure_Summary 11.03.19

17 Forward Work Programme

(Pages 156 – 161)

Attached Documents:

ACARAC (01-19)_25.03.19 Paper 17-FWP